PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application	on of	Robinson et al.				
Serial No.:	10/	840,239]	Examiner:	To Be Assigned	
Confirmation	No.:	7593	1	Art Unit:	2621	
Filed:	5/7/20	04				
For:	A SYS	STEM AND METHOD I	FOR UPG	RADING BIOM	IETRIC DATA	
		MATION DISCLOSUI			NSMITTAL ying Form PTO/SB/08A	
		ed patent application.	Statement	and accompan	ying rothir rotablook	
[X] [] [] [] [X]	In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required. In accordance with 37 C.F.R. §1.97(c), also enclosed is: [] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or [] a statement as specified in 37 C.F.R. §1.97(e). In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. §1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed. Check No in the amount of \$ for the total fee is attached. Authorization to charge a credit card for the total fee is attached. A return receipt postcard is also enclosed.					
Dated:	Duane Downs 0194	S. Kobayashi	By:	Duane S. Kol Reg. No. 411	payathi	

To Be Assigned

2621

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Robinson et al.

Serial No.:

10/840,239

Confirmation No.:

7593

Filed:

05/07/2004

For:

A SYSTEM AND METHOD FOR UPGRADING BIOMETRIC DATA

Examiner:

Art Unit:

Commissioner for Patents Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §\$1.97 and 1.98.

[X]	Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
	Pursuant to the U.S. Patent and Trademark Office's waiver of 37 C.F.R. §1.98(a)(2)(i) for applications filed after June 30, 2003, no copies of U.S. patents and patent applications cited in the attached Form PTO/SB/08 are being provided.
	No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No, to which the above-identified application claims priority under 35 U.S.C. §120.
	Publication(s) listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to application serial no and mailed on
	Enclosed is a copy of a non-English publication(s) Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
0	Enclosed is a copy of a non-English publication(s) English language publication (copy enclosed) claims priority from this non-English publication.

Appl. No.: 10/840,239 Inventor: Robinson et al. Page 2 of 2

[]		ed is an explanation of non-English publication(s) for which an English tion is not available.				
[]		sed is an English translation of non-English publication(s)cited in the ned Form PTO/SB/08A.				
O	identifi secrecy a paten	xaminer's attention is directed to co-pending patent Application Serial No, which is directed to related technical subject matter. The fication of this U.S. Patent Application is not to be construed as a waiver of matter as to that application now or upon issuance of the present application as not. The Examiner is respectfully requested to consider the cited application are art cited therein during examination of the present application.				
This Ir periods:	nformati	ion Disclosure Statement is filed within any one of the following time				
	[]	within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);				
		within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;				
	[X]	before the mailing date of a first office action on the merits; or				
		before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.				
It is re	spectful	lly requested that the Examiner consider the above-noted information and				
return an initia	aled cop	by of the attached Form PTO/SB/08A to the undersigned.				
		Respectfully submitted,				
Dated:	13010	By: Duant S. Kobayashi				
Law Office of 1325 Murray		S. Kobayashi Reg. No. 41122				

Reston, VA 20194 Tel: 703-464-7902 Fax: 703-935-0276

Substitute for form 1449B/PTO Substitute for form 1449B/PTO Application Number (1840,239)	D/SB/08A (08-00)		
Substitute for form 1449B/PTO Substitute for form 1449B/PTO Application Number (840,239)	J/SB/U8A (U8-UU)		
Substitute for form 1449B/PTO Application Number Application Number			
Substitute for form 1449B/PTO Application Number Application Number			
Ellin - D. A. D. A. D. OS 107 10004			
INFORMATION DISCLOSURE Filing Date PADEMAN 05/07/2004 First Named Information ROBINSON			
THIS Named Inventor RODINGON			
STATEMENT BY APPLICANT Group Art Unit 2621			
(use as many sheets as necessary) Examiner Name To Be Assigned			
Sheet 1 of 1 Attorney Docket Number U016 (BPAY.0050000)			
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (b	ook, T²		
Initials* No.! magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and country where published.			
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<u>ф-1 </u>			
C1 JAMES P. HOLMES et al. A Performance Evaluation of Biometric Identification Devices. Sandia Report. June 19			
			
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date Considered

Examiner Signature

Unique citation designation number.

Annicant is to place a check mark here if English language Translation attached